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PATENT

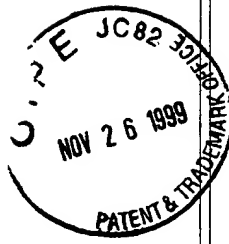
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 19744P-000300

Assistant Commissioner for Patents,
Attn: Box Missing Parts
Washington, D.C. 20231, on NOVEMBER 22, 1999

TOWNSEND and TOWNSEND and CREW LLP

By Karen A. Hallock
Karen A. Hallock



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MICHAEL A. EVANS et al.

Examiner: Not Assigned

Application No.: 09/388,294

Art Unit: 3732

Filed: September 1, 1999

For: METHODS AND APPARATUS FOR
ACCESSING AND TREATING
BODY LUMENS

TRANSMITTAL LETTER - RESPONSE
TO NOTICE OF MISSING PARTS

Attn: Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the Notice to File Missing Parts of Application - Filing Date
Granted dated September 21, 1999, enclosed are the following to be made of record in the
above-identified application:

1. Executed Declaration;
2. Executed Power of Attorney by Assignee;
3. Executed Small Entity Declaration
4. Information Disclosure Statement & 1449 w/17 refs.; &
5. Return copy of Notice.

Please charge Deposit Account No. 20-1430 for the following fees:

Small entity: (a) Filing Fee (§ 1.16(a)) (Small Entity) \$ 380.00
(b) Excess Claims Fees (§ 1.16(b), (c)):

87 - 20 = 67 x \$9.00 = \$603.00
04 - 03 = 01 x \$39.00 = \$39.00
(c) Missing Parts Surcharge \$65.00

TOTAL FEES TO BE CHARGED \$1087.00

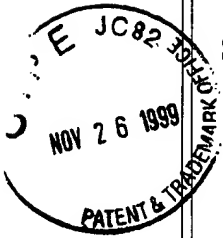
The Commissioner is hereby authorized to charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to Deposit Account No. 20-1430. This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

James M. Heslin
Reg. No. 29,541

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel 650/326-2400
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JMH/kh





UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/388,294 09/01/99 EVANS M 197449000300

020350 0262/0921
TOWNSEND AND TOWNSEND AND CREW LLP NOT ASSIGNED
TWO EMBARCADERO CENTER
8TH FLOOR
SAN FRANCISCO CA 94111-3834 3732

DATE MAILED:

09/21/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 760.00

☒ 1. The statutory basic filing fee is:

- ☒ missing.
- ☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 134.00 for 73 total claims over 20.

\$ 78.00 for 1 independent claims over 3.

\$ 260.00 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
- ☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

11/30/1999 MBERHE 00000061 201430 09388294

Customer Service Center
Initial Patent Examination Division (703) 308-1202

01 FC:201 380.00 CH
02 FC:205 65.00 CH
03 FC:203 603.00 CH